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RESEARCH ARTICLE

# The potential of lipocalin-2/NGAL as biomarker for inflammatory and metabolic diseases

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## Abstract

Lipocalin-2 (LCN2), also known as neutrophil gelatinase-associated lipocalin (NGAL), is a secreted glycoprotein that belongs to a group of transporters of small lipophilic molecules in circulation. LCN2 has been recently characterized as an adipose-derived cytokine. This adipokine is believed to bind small substances, such as steroids and lipopolysaccharides, and has been reported to have roles in the induction of apoptosis in hematopoietic cells, transport of fatty acids and iron, modulation of inflammation, and metabolic homeostasis. Recently, LCN2 has emerged as a useful biomarker and rheumatic diseases. This review provides an overview of LCN2 in inflammation, immunity, and metabolism.

## Keywords

Adipokines, adipose tissue, immunity, kidney diseases, rheumatic diseases

## History

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## Introduction

White adipose tissue (WAT) is now recognized to be a true endocrine organ, which is able to secrete a wide variety of adipose-derived factors that have been collectively termed “adipokines”. Adipokines, which are also synthesized in other tissues, include a variety of pro-inflammatory factors with most of them being increased in obesity and appearing to contribute to the so-called “low-grade inflammatory state” in obese subjects.

Inflammation in obesity is also closely related to a cluster of metabolic disorders including cardiovascular complications and autoimmune and inflammatory diseases (Gregor & Hotamisligil, 2011; Lago et al., 2007). For instance, adipokines dysregulation is a clear component of metabolic-triggered inflammation that appears to play a major role in osteoarthritis (OA) and rheumatoid arthritis (RA) (Hu et al., 2015; Malemud, 2015).

Among the members of the adipokines superfamily, lipocalin-2 (LCN2) has emerged as a pleiotropic molecule involved in a variety of physiological and pathophysiological processes, such as metabolic homeostasis, apoptosis, infection, immune response, or inflammation. In fact, this adipokine has been proposed as a biomarker of acute kidney injury (AKI), lupus nephritis (LN), cardiovascular diseases, or intestinal inflammation. Thus, the aim of the present review is to present the potential of LCN2 as biomarker in inflammatory and metabolic diseases.

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## LCN2 biology: structure and receptors

LCN2, also known as neutrophil gelatinase-associated lipocalin (NGAL), 24p3, p25, migration-stimulating factor

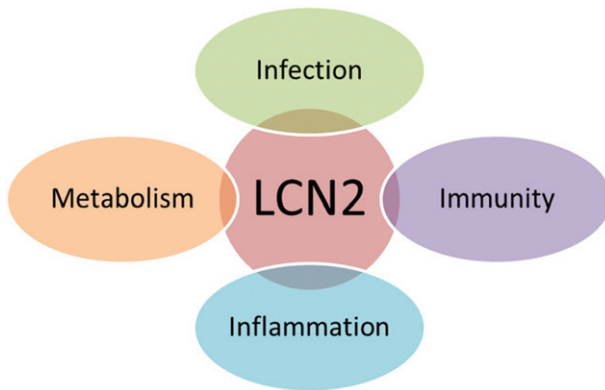


Figure 1. Schematic representation of the main functions of lipocalin-2.

inhibitor, human neutrophil lipocalin,  $\alpha$ 1-microglobulin-related protein, siderocalin, or uterocalin, is a 25 kDa secreted glycoprotein encoded by a gene located at the chromosome locus 9q34.11. The LCN2 gene produces at least five functional transcripts, the most common of which encodes for a 198 amino acid-secreted protein. The mouse homolog of LCN2 is called lipocalin 2 (Lcn2). It is denoted by lower case (Lcn2 or Ngal) to distinguish it from its human counterpart (LCN2 or NGAL) (Kjeldsen et al., 2000).

LCN2 was initially identified as a secreted protein from human neutrophils (Kjeldsen et al., 1994). Belonging to the same lipocalin superfamily members of fatty acid binding proteins and retinol binding proteins, LCN2 possesses a lipid binding domain, capable of binding small hydrophobic molecules (Chu et al., 1998). LCN2 also has a ligand binding cavity that can explain how LCN2 interacts with bacterial and mammalian proteins termed siderophores. Siderophores are low molecular weight proteins produced by microorganisms (including bacteria and fungi) that bind specifically to the ferric form of iron (Bao et al., 2010).

It is not yet clear if there is a definite receptor for LCN2. The observation that LCN2 is a secreted protein that is internalized through an endocytotic mechanism suggests the existence of a LCN2 cell-surface receptor. However, its identification remains still elusive. Two receptors have been proposed: the solute carrier family 22 member 17 (SLC22A17 or 24p3R) that binds to mouse Lcn2 (Devireddy et al., 2005) and the megalin/glycoprotein GP330, a low-density lipoprotein receptor that binds human LCN2 protein (Hvidberg et al., 2005).

LCN2 is expressed in multiple tissues including uterus (Huang et al., 1999), immune cells (Borregaard & Cowland, 2006), liver, spleen, kidney in mice (Aigner et al., 2007), bone marrow, and in the tissues that are exposed to microorganisms (Cowland & Borregaard, 1997), and it has been recently identified in chondrocytes (Owen et al., 2008), although WAT is thought to be the main source (Yan et al., 2007).

As outlined in the following subsections (Figure 1), LCN2 is involved in a series of processes such as apoptosis of hematopoietic cells (Devireddy et al., 2001), transport of fatty acids (Chu et al., 1998), and iron (Yang et al., 2002), modulation of inflammation (Cowland & Borregaard, 1997), and metabolic homeostasis (Yan et al., 2007). Moreover, LCN2 have been linked to the pathogenesis of metabolic disorders through its effects on inflammation (Gómez et al., 2011).

## LCN2 in infection

LCN2 has been described as new component of the innate immune system and the acute phase response to infection. During infection, bacteria acquire much of their iron essential for grow from the host by synthesizing siderophores that scavenge iron and transport it into the pathogen. Enterobactin is a siderophore produced by gram-negative bacteria (such as *Escherichia coli*, *Klebsiella*, or *Salmonella* spp.). LCN2 binds to enterobactin, both in their iron-laden and iron-free state, and transports it through the LCN2 receptor (24p3R) into mammalian cells where the iron is stored. Bacteria require iron for their growth. Hence, by depleting iron stores, LCN2 inhibits bacterial growth (i.e. has a bacteriostatic effect) (Bao et al., 2010). This event is pivotal in the innate immune response to bacterial infection. Mice expressing LCN2 are more resistant to infections with such gram-negative bacteria, as compared to LCN2<sup>-/-</sup> littermates. Upon encountering invading bacteria the Toll-like receptors on immune cells stimulate the transcription, translation, and secretion of LCN2; secreted LCN2 then limits bacterial growth by sequestering siderophores (Flo et al., 2004).

It is of interest, that LCN2 not only affects microbial iron delivery but also host iron homeostasis. This is most likely due to binding of 2,5-DHBA (2,5-dihydroxy benzoic acid), a recently identified mammalian siderophore, by LCN2 which then can shuttle iron across cellular membranes (Bao et al., 2010; Devireddy et al., 2010; Nairz et al., 2014). It has also been suggested that LCN2 stabilizes the labile iron/siderophore complex. LCN2-deficient mice (Lcn2KO) exhibit elevated intracellular labile iron. Srinivasan et al. (2012) reported that lipopolysaccharides (LPS)-induced systemic LCN2 by 150-fold in wild-type mice at 24 h. Further, cells from Lcn2KO mice were hyperresponsive to LPS *ex vivo* exhibiting elevated cytokine secretion. Desferrioxamine, an iron chelator, significantly protects Lcn2KO mice from LPS-induced toxicity, including mortality, suggesting that LCN2 may act as an antioxidant *in vivo* by regulating iron homeostasis.

LCN2 not only protects against bacterial sepsis but also regulates host pro-inflammatory cytokine expression by limiting iron-mediated oxidative stress. Its small size and simple structure may make LCN2 as a deployable treatment for sepsis (Srinivasan et al., 2012). Furthermore, LCN2 exerts antiparasitic effects by maintaining host iron homeostasis and promoting innate and adaptive immune responses to blood-stage malaria infection (Zhao et al., 2012).

## LCN2 in immunity

Recently, the work of La Manna et al. (2014) provided *in vitro* evidence that LCN2 is involved in cellular immunity. The potential role of LCN2 as an immunomodulatory molecule is based on its ability to induce immune tolerance by upregulating the expression of human leukocyte antigen G (HLA-G), a well-known tolerogenic molecule, on CD4<sup>+</sup>T cells and expansion of T regulatory (Treg) cells in healthy donors. Deregulation of Treg cells may cause autoimmune diseases, including, type 1 diabetes mellitus, systemic lupus erythematosus (SLE), RA, and psoriasis (Dejaco et al., 2006).

Thus, LCN2 may have an important protective function as an immune activator.

The complement system provides a link between the innate and adaptive immune systems. C3 components are important for recruiting inflammatory cells and have also been implicated in several acute and chronic inflammatory diseases (Hawlich et al., 2004). Activated products of C3 are recognized by complement receptors CD21 and CD35. These receptors are predominantly expressed on B cells. Animals lacking the CD21/CD35 co-receptors are highly susceptible to infections. When gene expression in the spleen from CD21/CD35<sup>-/-</sup> mice was compared with that in the spleen from wild-type mice, a significant upregulation of LCN2 expression was observed. This upregulation of LCN2 could be decreased to levels close to that in the wild-type mice upon pretreatment with a pharmacological inhibitor of C3aR (receptor for C3a). This suggests a mechanism of CD21/CD35 regulated and C3-mediated regulation of LCN2 expression in the spleen resident immune cells of mice (Chakraborty et al., 2012; Jacobson et al., 2008).

### The role of LCN2 in autoimmune diseases

During several acute and chronic inflammatory diseases, including immune complex (IC)-mediated inflammatory/autoimmune disorders, LCN2 is highly upregulated (Conde et al., 2011a). In IC-mediated autoimmune diseases, such as RA or SLE, autoantibodies bind to target host cells and initiate inflammation resulting in tissue injury (Nakamura & Takai, 2004). More recently, upregulation of LCN2, along with other inflammatory cytokines, has been reported in SLE patients (Li et al., 2014). However, the exact role of elevated LCN2 in autoimmune diseases is largely unknown. The study of Shashidharamurthy et al. (2013) was undertaken to investigate the role of LCN2 in IC-mediated diseases. Their results demonstrate that LCN2 may regulate immune cell recruitment to the site of inflammation, a process essential for the controlled initiation, perpetuation, and resolution of inflammatory processes. Thus, LCN2 may present a promising target in the treatment of IC-mediated inflammatory/autoimmune diseases.

### LCN2 in inflammation

Although LCN2 is highly upregulated under a large number of inflammatory conditions, both pro- and anti-inflammatory properties of this adipokines have been reported.

In neutrophils, LCN2 secretion is highly regulated by the activation of inflammation and infection (Kjeldsen et al., 1994); LPS and TNF $\alpha$  are the two strong inducers of LCN2 production. Most intriguingly, LCN2 promoter possesses the binding sites of two key transcription factors, nuclear factor  $\kappa$ B (NF- $\kappa$ B) and CCAAT/enhancer binding protein (C/EBP) (Shen et al., 2006), suggesting that transcriptional activation of this gene is associated with inflammation. Recently, Guo et al. suggest that LCN2 plays a role as an anti-inflammatory regulator of macrophage polarization and NF- $\kappa$ B/STAT3 pathway activation. They observed that in LCN2<sup>-/-</sup> bone marrow-derived macrophages (BMDMs) LPS stimulation elicited an increase in the activation of NF- $\kappa$ B, c-Jun, and STAT3 signaling pathways, as well as an

upregulation of expression of NF- $\kappa$ B and STAT3 target genes IL-1 $\beta$ , IL-6, iNOS, and MCP-1 in LCN2<sup>-/-</sup> BMDMs compared with wild-type controls. Moreover, pretreatment of recombinant LCN2 attenuated LPS-stimulated degradation of I $\kappa$ B $\alpha$  and STAT3 phosphorylation as well as LPS-induced gene expression of IL-6 and iNOS in LCN2<sup>-/-</sup> BMDMs (Guo et al., 2014).

Furthermore, recent studies indicate that LCN2 expression and secretion by glial cells are induced by inflammatory stimuli in the central nervous system. After induction of experimental autoimmune encephalomyelitis (EAE), LCN2 expression was found to be strongly increased in spinal cord and secondary lymphoid tissues. In spleens, LCN2 and 24p3R were highly expressed in neutrophils and dendritic cells, respectively. Inflammatory infiltration and the expression of inflammatory mediators were significantly attenuated in LCN2-deficient mice as compared with wild-type animals. Recombinant LCN2 protein injection experiments suggested that LCN2 expression in spinal cord and peripheral immune organs contributes to EAE development. These results imply LCN2 as a critical pro-inflammatory mediator of autoimmune inflammation and disease development in EAE (Nam et al., 2014).

### The role of LCN2 in musculoskeletal diseases

LCN2 is believed to have significant activity in inflammatory degenerative articular diseases, including OA and RA (Gómez et al., 2011). In addition, LCN2 has been proposed as a biomarker of cartilage degradation in arthritic diseases (Wilson et al., 2008).

LCN2 has been identified in chondrocytes (Owen et al., 2008). In these cells, LCN2 expression was modulated by IL-1 $\beta$ , leptin, adiponectin, LPS, and dexamethasone (Conde et al., 2011b). In addition, the synovial fluid from patients with knee OA was found to be enriched with MMP-9/LCN2 complexes that have been involved in matrix degradation (Gupta et al., 2007). Recently, the group of Katano confirmed that synovial fluid levels of LCN2 were significantly higher in patients with RA than in those with OA. Through a proteome analysis, they showed that granulocyte macrophage colony-stimulating factor (GM-CSF) can contribute to the pathogenesis of RA by upregulating LCN2 in neutrophils, followed by the induction of a series of enzymes, such as cathepsin D, transitional endoplasmic reticulum ATPase (TERA), and transglutaminase 2 (tg2) in synoviocytes, which could contribute to the proliferation of synovial cells and infiltration of inflammatory cells inside the synovium (Katano et al., 2009). Very recently, the NEIRID group have showed that nitric oxide boosts TLR-4 mediated lipocalin expression in chondrocytes, suggesting the existence of a feedback loop regulating the expression of this adipokines (Gómez et al., 2013).

### LCN2 in metabolism

LCN2 expression is altered in several pathologic conditions, such as adipose tissue hypoxia and obesity (Jang et al., 2012; Sommer et al., 2009). Nevertheless, whether LCN2 plays a role in the pathogenesis of obesity-related diseases has not been investigated so far.

Recent studies have reported the association between serum LCN2 concentrations and various metabolic parameters and inflammatory markers (Moreno-Navarrete et al., 2010; Wang et al., 2007; Yan et al., 2007). The study of Jang et al. provides the first clinical evidence demonstrating that serum concentrations of LCN2 are closely associated with obesity and its related chronic inflammation and metabolic complications. Patients with MetS showed higher levels of LCN2 than those without MetS. However, correlation between serum LCN2 concentration and the number of MetS components was not significant. Nonetheless, they suggest serum LCN2 as a useful biomarker for evaluating the outcomes in various clinical settings of obesity-related metabolic and cardiovascular disease (Jang et al., 2012).

LCN2 promoter possesses the binding sites of two key transcription factors, NF- $\kappa$ B and CCAAT/enhancer binding protein (C/EBP) (Shen et al., 2006), and glucocorticoid response element (Garay-Rojas et al., 1996), suggesting that transcriptional activation of this gene in adipose tissue may be associated with inflammation and obesity and may have a function in adipose tissue remodeling. LCN2 expression and secretion have been shown to be induced by two proinflammatory cytokines, IFN $\gamma$  and TNF $\alpha$ , in cultured murine and human adipocytes. In the work of Zhao et al., they demonstrated that IFN $\gamma$  and TNF $\alpha$  induced LCN2 expression and secretion *in vivo*. In knockdown experiments they showed that STAT1 is required for IFN $\gamma$ -induced LCN2 expression in murine adipocytes. Similarly, knockdown of p65 in adipocytes demonstrated the necessity of the NF- $\kappa$ B signaling pathway for TNF $\alpha$ -mediated effects on LCN2. Activation of ERKs by IFN $\gamma$  and TNF $\alpha$  also affected STAT1 and NF- $\kappa$ B signaling through modulation of serine phosphorylation. ERK activation-induced serine phosphorylation of both STAT1 and p65 mediated the additive effects of IFN $\gamma$  and TNF $\alpha$  on LCN2 expression. They suggest that these same mechanisms occur in humans as they observed STAT1 and NF- $\kappa$ B binding to the human LCN2 promoter in chromatin immunoprecipitation assays performed in human fat cells (Zhao et al., 2014).

The molecular disruption of LCN2 increased body fat mass as well as exacerbated dyslipidemia, fatty liver, and insulin resistance upon high-fat diet (HFD) feeding compared with wild-type mice (Guo et al., 2010). However, in Law et al. work, LCN2 deficiency significantly alleviated HFD-induced insulin resistance, and the effect could be observed as early as 5 weeks after HFD feeding. Despite the enlarged fat mass they observed, inflammation and the accumulation of lipid peroxidation products are significantly attenuated by modulating 12-lipoxygenase and TNF $\alpha$  levels in the adipose tissues of LCN2-KO mice (Law et al., 2010).

In previous studies of the Xiaoli Chen group, they demonstrated that LCN2 is a critical regulator of energy metabolism, glucose and lipid homeostasis, and insulin resistance in LCN2-deficient mice (Guo et al., 2010, 2012; Jin et al., 2011). Thiazolidinedione (TZD) treatment, which acts as a peroxisome proliferator-activated receptor- $\gamma$  (PPAR $\gamma$ ) agonist, was able to markedly improve HFD-induced insulin resistance and dyslipidemia in LCN2-/- mice (Jin et al., 2011). In a recent study, they investigated the regulation of LCN2 expression in adipose tissue in response to metabolic stress in mice, as well as the control of

LCN2 expression and secretion by cytokines and nutrients in 3T3-L1 adipocytes. The mRNA expression of LCN2 was upregulated in white and brown adipose tissues as well as liver during fasting and cold stress in mice. Among proinflammatory cytokines, TNF $\alpha$ , IL-1 $\beta$ , and IL-6, IL-1 $\beta$  showed most profound effect on LCN2 expression and secretion in 3T3-L1 adipocytes. Insulin stimulated LCN2 expression and secretion in a dose-dependent manner; this insulin effect was significantly abolished in the presence of low concentration of glucose. Moreover, insulin-stimulated LCN2 expression and secretion was also attenuated by blocking NF- $\kappa$ B pathway activation (Zhang et al., 2014a). Furthermore, they reported LCN2 as a novel regulator of brown adipose tissue activation by modulating the adrenergic independent p38 MAPK-PGC-1 $\alpha$ -UCP1 pathway (Zhang et al., 2014b).

LCN2 synthesis has also been described in bone (Bartsch & Tschesche, 1995). Costa and colleagues reported that LCN2 could modulate the bone marrow microenvironment by increasing the expression of one of the most important bone niche factors: stromal-derived factor 1, a chemokine involved in the recruitment of hemopoietic precursors (Costa et al., 2010). They recently found that LCN2 was expressed during osteoblast differentiation. By generating transgenic (Tg) mice over-expressing LCN2 in bone, they observed that Tg mice were smaller and presented thinner layer of cortical bone and a decreased trabecular number. In particular, Tg bones displayed a reduced osteoblast bone matrix deposition and osteoblast differentiation was slowed down. Differences were also observed in the growth plate of young Tg mice where chondrocyte displayed a more immature phenotype and a lower proliferation rate. Moreover, the expression of the conventional receptor activator of NF- $\kappa$ B ligand (RANKL) and of the IL-6 was enhanced in Tg mice. With this work, they found that LCN2 plays a role in bone development and turnover having both a negative effect on bone formation, by affecting growth plate development and interfering with osteoblast differentiation (Costa et al., 2013). Further studies are needed to clarify the function of LCN2 in modulating the bone microenvironment.

### The potential of LCN2 as a biomarker

As an acute phase protein, LCN2 has become increasingly relevant in recent years as a potential clinical biomarker in inflammatory diseases. LCN2 levels in biological fluids are generally low, being upregulated in inflammatory state, which strongly indicates the potential of its use as a biomarker of disease onset and progression (Table 1).

Table 1. Potential value of LCN2 as a biomarker in several diseases.

Biomarker	Disease	Reference
Plasma LCN2	Arthritic diseases	Wilson et al. (2008)
Plasma LCN2	Severe acute pancreatitis	Chakraborty et al. (2012)
Plasma LCN2	Obesity-related metabolic	Jang et al. (2012)
Plasma LCN2	Cardiovascular disease	Lindberg et al. (2014)
Urinary LCN2	Acute kidney injury	Bolignano et al. (2008)
Urinary LCN2	Lupus nephritis	Brunner et al. (2012)
Fecal LCN2	Intestinal inflammation	Chassaing et al. (2012)
Plasma LCN2	Multiple sclerosis	Berard et al. (2012)

In a prospective study with 10-year outcome, plasma LCN2 associated strongly with all inflammatory markers. Even after adjustment for confounding risk factors by Cox regression analysis, LCN2 remained an independent biomarker of both all-cause mortality and major adverse cardiovascular event (Lindberg et al., 2014). In other study, assay of fecal Lcn2 by ELISA function as a noninvasive, sensitive, dynamic, stable, and cost-effective means to monitor intestinal inflammation in mice (Chassaing et al., 2012). In addition, as well it was pointed out before in this text, LCN2 has been proposed as a biomarker of cartilage degradation in arthritic diseases (Wilson et al., 2008).

Blood and urinary levels of LCN2 have been extensively studied as very promising biomarkers for an early diagnosis of AKI and for monitoring of chronic kidney disease severity, which may revolutionize our clinical practice in the near future.

### LCN2 as biomarker in kidney inflammatory diseases

Plasma LCN2 is filtered by the glomerulus and almost totally reabsorbed in the proximal tubules (Filiopoulos et al., 2014). LCN2 is also released by renal tubular cells (Friedl et al., 1999). Urinary excretion of LCN2 is presumed when there is proximal renal tubular injury that avoids LCN2 reabsorption and/or increases de novo LCN2 synthesis. Thus, tubular cell damage leads to an increase in production and release of LCN2 in plasma and urine. It can readily be detected as it has low molecular weight and it is resistant against fragmentation.

Transcriptome and proteomic studies identified LCN2 to be one of the most upregulated genes and one of the most highly induced proteins in the kidney very early after AKI in animal models (Mishra et al., 2003; Yuen et al., 2006). The serendipitous detection of LCN2 in the urine and plasma in animal and human AKI has resulted in several translational studies to evaluate LCN2 as a noninvasive biomarker in human AKI. LCN2 is therefore one of the most promising next-generation biomarkers in the field of AKI (Bolognani et al., 2008). However, recent data suggest that LCN2 is not only an early predictor of AKI, but also seems to play an important role in chronic kidney disease (Nickolas et al., 2012).

Furthermore, the rapid determination of plasma LCN2 level provides valuable information quickly, concerning the distinction of acute pyelonephritis, for determining the clinical course of acute febrile urinary tract infection (Seo et al., 2014).

Nonetheless, there are some limitations in use LCN2 as a biomarker for the prediction of AKI, including lack of published studies that adhere to diagnostic study guidelines, heterogeneity in AKI definition, the lack of uniformly applicable cutoff values and variability in the performance of commercially available LCN2 assays (Haase-Fielitz et al., 2014).

Moreover, recent studies link together LCN2 and SLE. SLE is a multisystem inflammatory autoimmune disease in which renal involvement is common. It is a major cause of morbidity and mortality and predictor of poor prognosis. The diagnostic gold standard for LN is the renal biopsy, it is considered to give more information than laboratory tests

used nowadays (creatinine levels and clearance, urine proteins amounts, and urine sediment or complement anti-double strand DNA antibodies). This is an invasive technique so biomarkers that ease the diagnosis, monitoring, and follow-up of this manifestation are being searched (Yang et al., 2012). Urinary LCN2 is a sensitive biomarker of renal injury (Elewa et al., 2015; Hammad et al., 2013). It is a predictor of LN in all SLE patients, but it also predicts LN flares in patients previously diagnosed with LN (Rubinstein et al., 2010). LCN2 has a role in LN activity, it is also a damage indicator, being a biomarker of LN chronicity (Brunner et al., 2012).

### Conclusions

During the last two decades, there has been an increasing understanding of the relationships among metabolic syndrome, adipokines, and inflammatory diseases. In this regard, LCN2 can be considered one of the mediators responsible for the low-level systemic inflammation that is present in metabolic syndrome associated with obesity, emerging as a major player linking immunity and metabolism, acting at different levels as a regulator of multiple responses.

All the studies described above highlight the involvement of LCN2 in the modulation of both innate and adaptive immune response. Therefore, LCN2 could be considered a relevant potential therapeutic target in certain clinical situations, in which exists a dysfunctional immune system, as autoimmune diseases. Moreover, this adipokine has emerged as a potential biomarker for rheumatic and kidney diseases. However, more studies aimed at understanding the exact role of LCN2 in inflammatory processes and modulation of metabolism will be necessary.

### Declaration of interest

The authors declare that there is no conflict of interests regarding the publication of this paper.

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